



Transfer Request

ROTH IRA

Review the following content thoroughly. Upon review, print the documents and obtain the required signatures, as applicable, to finish the request for a transfer.

OWNER INFORMATION

Last Name

First

MI

Tax ID

Date of Birth

Account Type

Account Number

FINANCIAL ORGANIZATION INFORMATION

Financial Organization

Email

Phone

Relationship to Current Account Owner

- ☐ I am the current account owner
- ☐ I am the former spouse of the current account owner
- ☐ I am the spouse beneficiary of the original account owner who is deceased, and I am transferring the assets to my own Roth IRA
- ☐ I am the spouse, non-spouse, or non-human beneficiary of the original account owner who is deceased, and I am transferring the assets to an inherited Roth IRA

CURRENT ACCOUNT INFORMATION

Current Account Type

Current Account Owner

- ☐ Roth IRA
- ☐ Inherited Roth IRA

Tax ID of Current Account Owner

Current Financial Organization Name/Address

Current Account Number

TRANSFER INSTRUCTIONS

Transfer Amount

- ☐ Total Amount
- ☐ Total Amount and Close Current Account
- ☐ Specific Amount: \$

Transfer Date

- ☐ Immediately
- ☐ On a specific date:
- ☐ Upon investment maturity

(MM/DD/YYYY)

Make Payable To

as Custodian/Trustee of

Additional Details

INVESTMENT OPTIONS

Name	Minimum Deposit	Dividend Rate	Annual Percentage Yield	Investment Number	Amount

SIGNATURE

By signing this **Transfer Request**, I certify that the information I have provided is true and correct. I authorize the current Custodian/Trustee to transfer the assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise as a result of my actions. I agree to indemnify and hold the Custodian/Trustee harmless from any consequences related to executing my directions. I have not received any legal or tax advice from the Custodian/Trustee and any assistance provided by the Custodian/Trustee is not to be construed as such. I also understand that if this transfer involves a SIMPLE IRA, or if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions regarding those issues.

Print Account Owner Name

Account Owner Signature

Date

By signing below, the Custodian/Trustee of the receiving IRA agrees to accept this transfer as instructed above.

Print Custodian/Trustee Representative Name

Custodian/Trustee Representative Signature

Date