Transfer Request

Select Account Type

Review the following content thoroughly. Upon review, print the documents and obtain the required signatures, as applicable, to finish the request for a transfer.

OWNER INFORMATION

Last Name ______________ **First** ______________ **MI** ______

Tax ID ______________ **Date of Birth** ______________

Account Type Select Account Type **Account Number** ______________

FINANCIAL ORGANIZATION INFORMATION

Financial Organization

____

____

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Email ______________

Phone ______________

Relationship to Current Account Owner

- ☐ I am the current account owner
- ☐ I am the former spouse of the current account owner
- ☐ I am the spouse beneficiary of the original account owner who is deceased, and I am transferring the assets to my own IRA
- ☐ I am the spouse, non-spouse, or non-human beneficiary of the original account owner who is deceased, and I am transferring the assets to an inherited IRA

CURRENT ACCOUNT INFORMATION

Current Account Type

- ☐ Traditional IRA
- ☐ SEP IRA
- ☐ SIMPLE IRA (if account owner is under age 59.5, must be 2 or more years since first SIMPLE IRA contribution was made)
- ☐ Inherited Traditional IRA

Current Account Owner ______________

Tax ID of Current Account Owner ______________

Current Financial Organization Name/Address

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Current Account Number ______________

TRANSFER INSTRUCTIONS

Transfer Amount

- ☐ Total Amount
- ☐ Total Amount and Close Current Account
- ☐ Specific Amount: $ ________________

Transfer Date

- ☐ Immediately
- ☐ On a specific date: ____________ (MM/DD/YYYY)
- ☐ Upon investment maturity

Make Payable To

________________________ as Custodian/Trustee of ________________________

________________________ Select Account Type

Additional Details

INVESTMENT OPTIONS

Name	Minimum Deposit	Dividend Rate	Annual Percentage Yield	Investment Number	Amount

SIGNATURE

By signing this **Transfer Request**, I certify that the information I have provided is true and correct. I authorize the current Custodian/Trustee to transfer the assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise as a result of my actions. I agree to indemnify and hold the Custodian/Trustee harmless from any consequences related to executing my directions. I have not received any legal or tax advice from the Custodian/Trustee and any assistance provided by the Custodian/Trustee is not to be construed as such. I also understand that if this transfer involves a SIMPLE IRA, or if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions regarding those issues.

Print Account Owner Name	Account Owner Signature	Date
________________________	________________________	____________

By signing below, the Custodian of the receiving IRA agrees to accept this transfer as instructed above.

Print Custodian/Trustee Representative Name	Custodian/Trustee Representative Signature	Date
________________________	________________________	____________